

# Securities Litigation Monitoring Services

## Questions & Answers

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## Request for Proposal

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January 2023



**Orange County Employees Retirement System (OCERS)**

2223 E. Wellington Avenue, Suite 100

Santa Ana, CA 92701 USA

(714) 558-6200

[www.ocers.org](http://www.ocers.org)

Question	Response
<p>1. What are OCERS' total recoveries from securities litigation for each of the past five years? What portion of that was derived from non-US securities actions?</p>	<p>OCERS respectfully declines to respond to this question because OCERS does not believe this information is relevant or helpful in responding to this RFP.</p>
<p>2. Does OCERS track all its securities holdings in a Bloomberg Portfolio (PORT)? If yes, will OCERS grant RFP awardee access to that portfolio data in Bloomberg via Bloomberg PORT functionality?</p>	<p>No, OCERS is not tracking the securities holdings on PORT. Therefore, the access will be only through the custodian bank.</p>
<p>3. Will OCERS accept a proposal that demonstrates \$2 million in professional liability insurance in the aggregate is currently bound and documented quote to increase coverage to \$3 million in aggregate with a representation that, if the contract is awarded to the respondent, it will bind such additional coverage?</p>	<p>Yes.</p>
<p>4. In section 7, bullet #3f: "annual revenues." Is it absolutely required to disclose annual revenues, or can we declare it is confidential or proprietary?</p>	<p>Annual revenues can be designated as confidential and that information will then be treated according to section 10 of the RFP.</p>