Chair Hilton called the meeting to order at 9:03 a.m.

Attendance was as follows:
Present: Roger Hilton, Chair; Shawn Dewane, Vice-Chair, Frank Eley, Shari Freidenrich, Chris Prevatt, Charles Packard, Wayne Lindholm, Jeremy Vallone, Adele Tagaloa, Arthur Hidalgo  
Also Present: Steve Delaney, Chief Executive Officer; Brenda Shott, Assistant CEO, Internal Operations; Suzanne Jenike, Assistant CEO, External Operations; Gina Ratto, General Counsel; David Kim, Director of Internal Audit; Megan Cortez, Disability Manager; Reginald Tucker, Managing Director of Investments; Anthony Beltran, Visual Technician; Nichol Forbes; Recording Secretary and Cammy Torres; Recording Secretary  
Guests: Harvey Leiderman, ReedSmith

Trustee Freidenrich led the Pledge of Allegiance.

Robin Stieler, Clerk of the Orange County Board of Supervisors, administered the Oath of Office to Adele Tagaloa, Arthur Hidalgo, Charles Packard, Frank Eley and Shawn Dewane.

Mr. Prevatt arrived at 9:09 a.m.

The Board recessed for a break at 9:18 a.m.
The Board reconvened at 9:34 a.m.

CONSENT AGENDA

MOTION by Prevatt, seconded by Packard, to approve staff’s recommendation on all of the following items on the Consent Agenda:

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<th>BENEFITS</th>
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Recommendation: Grant election of retirement benefit payment, Option 4, based on Segal Consulting’s actuarial report.
C-2  BOARD MEETING MINUTES

Regular Board Meeting Minutes

Recommendation: Approve minutes.

The motion passed unanimously.

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DISABILITY/MEMBER BENEFITS AGENDA

OPEN SESSION

CONSENT AGENDA

MOTION by Dewane, seconded by Eley, to approve staff’s recommendation on all of the following items on the Consent Agenda:

**DC-1: Shannon Garrido**
Deputy Juvenile Correctional Officer II, Orange County Probation Department

Recommendation: The Disability Committee recommends that the Board grant service connected disability retirement with an effective date of March 1, 2019. (General Member)

**DC-2: Vernon (Mickey) Hanson**
Firefighter, Orange County Fire Authority

Recommendation: The Disability Committee recommends that the Board grant service connected disability retirement with an effective date of September 12, 2016. (Safety Member)

**DC-3: Jeff Watson**
Communications Technician, Orange County Fire Authority

Recommendation: The Disability Committee recommends that the Board grant service connected disability retirement with an effective date of August 4, 2017. (General Member)

The motion passed unanimously.
CLOSED SESSION

(Government Code sections 54957 and 54956.9)

The Board will adjourn to Closed Session pursuant to Government Code sections 54957 or 54956.9 to discuss matters relating to member applications or appeals. The member may request that the discussion relating to his or her application or appeal take place in Open Session.

The Board adjourned into Closed Session at 9:35 a.m.

ACTION ITEMS

DA-1: INDIVIDUAL ACTION ON ANY ITEM TRAILED FROM THE CONSENT AGENDA

No items were trailed from the Consent Agenda.

DISABILITY/MEMBER BENEFITS AGENDA

DA-2: DISABILITY APPEAL – ANA TRAN

Recommendation: Staff recommends that the Board approve and adopt the findings and recommendations of the Hearing Officer as set forth in the Summary of Evidence, Findings of Fact, Conclusions of Law, and Recommendations in The Matter of the Application for Disability Retirement of Anna (sic) Tran dated October 14, 2019 (Recommendations), and deny Ana Tran’s (Applicant) application for service-connected disability retirement.

DA-3: BENEFIT APPEAL – NICHOLAS CASSON

Recommendation: Staff recommends that the Board approve and adopt the findings and recommendations of the Hearing Officer as set forth in the Summary of Evidence, Findings of Fact, Conclusions of Law, and Recommendations in The Matter of the Application for Disability Retirement of Nicholas Casson (Applicant) dated October 20, 2019 (Recommendations), wherein the Hearing Officer determined that OCERS correctly applied the offset pursuant to Government Code section 31838.5 to Applicant’s disability allowance, notwithstanding the fact that Applicant did not elect reciprocity.

DA-4: BENEFIT APPEAL – DAVID ROCHA

Recommendation: Staff recommends that the Board approve and adopt the findings and recommendations of the Hearing Officer as set forth in the Revised Findings, Conclusions of Law, and Recommended Decision in The Matter of the Application of David L. Rocha, a Member of the
Orange County Employees Retirement System, for a Recalculated Benefit Allowance dated July 25, 2019 (Recommendations), wherein the Hearing Officer recommended that the Board’s 2017 finding of a correct calculation of David Rocha’s (Applicant) benefit allowance be affirmed, and that Applicant’s appeal be denied.

OPEN SESSION

The Board reconvened into Open Session at 10:54 a.m.

The Board recessed for a break at 10:54 a.m.
The Board reconvened at 11:07 a.m.

ACTION ITEMS

REPORT OF ACTIONS TAKEN IN CLOSED SESSION

Chair Hilton reported that the Board took the following actions in Closed Session:

DA-2: DISABILITY APPEAL – ANA TRAN

**MOTION** by Eley, **seconded** by Packard to approve and adopt the findings and recommendations of the Hearing Officer as set forth in the Summary of Evidence, Findings of Fact, Conclusions of Law, and Recommendations in The Matter of the Application for Disability Retirement of Anna Tran dated October 14, 2019, and deny Ana Tran’s application for service-connected disability retirement. The motion passed **unanimously**.

On **MOTION** by Eley, seconded by Packard, the Board voted **unanimously** to receive and file the Motion to Strike OCERS Hearing Officer’s Response to Objections to OCERS Hearing Decision served by Applicant, Ana Tran.

DA-3: BENEFIT APPEAL – NICHOLAS CASSON

**MOTION** by Prevatt, **seconded** by Packard to approve and adopt the findings and recommendations of the Hearing Officer as set forth in the Summary of Evidence, Findings of Fact, Conclusions of Law, and Recommendations in The Matter of the Application for Disability Retirement of Nicholas Casson dated October 20, 2019, wherein the Hearing Officer determined that OCERS correctly applied the offset pursuant to Government Code section 31838.5 to Applicant’s disability allowance, notwithstanding the fact that Applicant did not elect reciprocity. The motion passed **unanimously**.

DA-4: BENEFIT APPEAL – DAVID ROCHA

**MOTION** by Packard, **seconded** by Dewane to approve and adopt the findings and recommendations of the Hearing Officer as set forth in the Revised Findings, Conclusions of Law, and Recommended Decision in The Matter of the Application of David L. Rocha, a Member of the Orange County Employees Retirement System, for a Recalculated Benefit Allowance dated July 25, 2019 (Recommendations), wherein the Hearing Officer recommended that the Board’s 2017
finding of a correct calculation of David Rocha’s (Applicant) benefit allowance be affirmed, and that Applicant’s appeal be denied. The motion passed unanimously.

A-1 INDIVIDUAL ACTION ON ANY ITEM TRAILED FROM THE CONSENT AGENDA

No items were trailed from the Consent Agenda.

INFORMATION ITEMS

Ms. Freidenrich pulled items I-6, I-9, and I-10. Mr. Eley pulled item I-14.

I-1 MEMBER MATERIALS DISTRIBUTED
Written Report

Application Notices
Death Notices

I-2 COMMITTEE MEETING MINUTES
Audit Committee

I-3 CEO FUTURE AGENDAS AND 2020 OCERS BOARD WORK PLAN
Written Report

I-4 QUIET PERIOD – NON-INVESTMENT CONTRACTS
Written Report

I-5 TRAVEL REPORT – VISIT TO SAN DIEGO COUNTY EMPLOYEES RETIREMENT ASSOCIATION
Written Report

I-6 TRAVEL REPORT – VISIT TO VENTURA COUNTY EMPLOYEES’ RETIREMENT ASSOCIATION
Written Report

Ms. Freidenrich requested more information regarding Ventura County Employees’ Retirement Association’s payoff of the UAAL amortization layers established as of its June 30, 2004 valuation. She would like to see how OCERS would benefit from VCERA’s processes.

I-7 BOARD COMMUNICATIONS
Written Report

I-8 2019 OCERS YEAR IN REVIEW: COMMUNICATION PLAN
Written Report

I-9 DISABILITY RETIREMENT STATISTICS – 2019 REPORT
Written Report
Ms. Freidenrich would like to see charts showing the number of disability grants, denials, and claims filed that were brought to the Board in 2019.

I-10 2019 FORM 700 DESIGNATED FILERS LIST AND FACT SHEET
Written Report

Ms. Freidenrich stated that the new management employees should be required to file Form 700s.

Ms. Ratto stated that OCERS' Conflict of Interest Code is due for biennial review in 2020 and the new management staff will be added to the list of Designated Filers. The amendments will be presented to the Governance Committee for approval.

I-11 BOARD COMMUNICATIONS POLICY FACT SHEET
Written Report

I-12 FOURTH QUARTER 2019 EDUCATION AND TRAVEL EXPENSE REPORT
Written Report

I-13 CONTRACT STATUS FOR NAMED SERVICE PROVIDERS
Written Report

I-14 ACTUARIAL COST IMPACT OF ANNUAL LEAVE POLICY
Written Report

Mr. Eley stated that he was surprised that the actuarial cost impact of the change to OCERS’ Annual Leave policy was so small. He asked that OCERS follow up with Segal Consultants regarding the rates.

Mr. Lindholm left the meeting at 11:20 a.m.

I-15 OCERS INNOVATIONS/PROCESS IMPROVEMENTS AND EMPLOYEE STAFF AWARDS
Presentation by Steve Delaney, Chief Executive Officer, OCERS

Mr. Delaney and the OCERS Management Team presented OCERS 2019 process improvements as well as Employee Team awards.

I-16 DILIGENT BOARD PORTAL INTRO
Presentation by Jenny Sadoski, Director of Information Technology, OCERS and Phil Sandusky, Diligent

Phil Sandusky from Diligent performed a live training for the Trustees on how to use and navigate through the Diligent Board Portal.

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BOARD MEMBER COMMENTS
N/A

CHIEF EXECUTIVE OFFICER/STAFF COMMENTS
Mr. Delaney updated the Board on OCERS 75th Anniversary and presented OCERS 75th Anniversary Board of Supervisor’s Resolution.

COUNSEL COMMENTS
N/A

ADJOURNMENT: (IN MEMORY OF THE ACTIVE MEMBERS, RETIRED MEMBERS, AND SURVIVING SPOUSES WHO PASSED AWAY THIS PAST MONTH)

The meeting ADJOURNED at 12:19 p.m. in memory of the active members, retired members, and surviving spouses who passed away during the past month:

Active Members
Butani, Dilip
Dier, Douglas

Retired Members
Alexander, Elizabeth
Azlin, Donald
Benjamin, Pauline
Boykin-Winfield, Rhonda
Cole, Waldo
Coney, Brian
Di Stefano, Marion
Erbland, Linda
Fallejo, Alfonso
Fox, Laura
Fried, Helen
Higgins, Elizabeth
Hudson, Ronald
Jones, Robert
Karp, Ruth
Kelley, Charles
Kelly-Saurwein, Colleen
Kuhl, Gary
Lawson, Mildred
Le, Thanh
Medellin, Crisoforo
Mendoza, Maria
Midcap, Charlotte
Orange County Employees Retirement System
January 21, 2020
Regular Board Meeting – Minutes

Muchnick, Charles
Myers, David
Norton, Robert
Okada, Joan
Parsons, Norman
Rish, Billie
Rozen, Virginia
Runge, Richard
Spalenka, Margie
Stewart, Timothy
Tarzian, Edith
Tran, Sa

Spouses
Cooper, Phyllis
Lewis, Joyce
Rhodes, Garland
Trusty, Betty
Vian, Edna
Walker, Betty
Weintraub, Renee

Submitted by:

[Signature]
Steve Delaney
Secretary to the Board

Approved by:

[Signature]
Roger Hilton
Chairman