

ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM  
BOARD OF RETIREMENT  
2223 E. WELLINGTON AVENUE, SUITE 100  
SANTA ANA, CALIFORNIA

REGULAR MEETING  
Tuesday, January 16, 2018  
9:00 a.m.

AGENDA

The Orange County Board of Retirement welcomes you to this meeting. This agenda contains a brief general description of each item to be considered. The Board of Retirement may take action on any item included in the following agenda; however, except as otherwise provided by law, no action shall be taken on any item not appearing on the agenda. The Board of Retirement may consider matters included on the agenda in any order, and not necessarily in the order listed.

The Board of Retirement encourages your participation. The public, plan members, beneficiaries, and/or representatives may speak to any subject matter contained in the agenda at the time the item is addressed. Persons wishing to address items on the agenda should provide written notice to the Secretary of the Board prior to the Board's discussion on the item by filling out the Public Comment Form located in the back of the room. Members of the public may also comment on any matter that is within the subject matter jurisdiction of the Board during the noticed Public Comment period. When addressing the Board, please state your name for the record prior to providing your comments. Speakers will be limited to three (3) minutes.

Pledge of Allegiance

CONSENT AGENDA

All matters on the Consent Agenda are to be approved by one action unless a Board Member or a member of the public requests separate action on a specific item.

**BENEFITS**

**C-1 OPTION 4 RETIREMENT ELECTION**

**Recommendation:** Grant election of retirement benefit payment, Option 4, based on Segal Consulting's actuarial report.

(1) N/A

**ADMINISTRATION**

**C-2**     [BOARD MEETINGS AND COMMITTEE MEETINGS](#)

Audit Committee Meeting Minutes  
Regular Board Meeting Minutes

December 14, 2017  
December 18, 2017

**Recommendation:** Authorize meeting and approve minutes.

**C-3**     [AUDIT COMMITTEE OUTCOMES FROM DECEMBER 14, 2017 MEETING](#)

The Audit Committee recommends that the Board of Retirement:

- (1) Receive and file the Audit of OCERS' Travel Expense Reports.
- (2) Receive and file the Hotline Update.
- (3) Receive and file the Status of 2017 Internal Audit Plan.
- (4) Approve the 2018 Risk Assessment and 2018 Audit Plan.

**C-4**     [2017 OCERS YEAR IN REVIEW: COMMUNICATION PLAN](#)

**Recommendation:** Approve the 2017 Year in Review Communication Plan.

**ACTION ITEMS**

**A-1**     **INDIVIDUAL ACTION ON ANY ITEM TRAILED FROM THE CONSENT AGENDA**

**A-2**     [GOVERNANCE COMMITTEE OUTCOMES REGARDING REFORM OF THE ADMINISTRATIVE HEARING PROCESS](#)

*Presentation by Gina M. Ratto, General Counsel, and Lee Fink, Deputy General Counsel*

The Governance Committee recommends that the Board of Retirement:

- (1) Creation of a Disability Committee;
- (2) The Disability Committee Charter;
- (3) The Adjudication Policy and Administrative Hearing Rules for Disability and Non-Disability Benefits to supersede and replace the existing Administrative Hearing Procedures Policy and OCERS Administrative Procedure on Appeals; and
- (4) Revisions to the Hearing Officer Selection Policy.

**INFORMATION ITEMS**

The following matters are informational only and no action by the Board is necessary. However, as stated above, the Board may discuss and take action on any item included in the agenda.

**I-1**     **MEMBER MATERIALS DISTRIBUTED**

*Written report only*

[Application Notices](#)  
[Death Notices](#)

January 16, 2018  
January 16, 2018

- I-2 [CEO FUTURE AGENDAS AND 2018 OCERS BOARD WORK PLAN](#)  
*Written report only*
- I-3 [QUIET PERIOD – NON-INVESTMENT CONTRACTS](#)  
*Written report only*
- I-4 [FOURTH QUARTER 2017 EDUCATION AND TRAVEL EXPENSE REPORT](#)  
*Written report only*
- I-5 [BOARD COMMUNICATIONS POLICY FACT SHEET](#)  
*Written report only*
- I-6 [DISABILITY RETIREMENT STATISTICS – 2017 REPORT](#)  
*Written report only*
- I-7 [OVERPAID AND UNDERPAID PLAN BENEFITS – 2017 REPORT](#)  
*Written report only*
- I-8 [2018 OCERS BOARD OF RETIREMENT COMMITTEE ASSIGNMENTS](#)  
*Written report only*
- I-9 [2017 FORM 700 DESIGNATED FILERS LIST AND FACT SHEETS AND OCERS ANNUAL DISCLOSURE FORM](#)  
*Written report only*
- I-10 [PUBLIC PENSION COORDINATING COUNCIL \(PPCC\) STANDARDS AWARD FOR FUNDING AND ADMINISTRATION AWARD](#)  
*Written report only*
- I-11 [BOARD COMMUNICATIONS](#)  
*Written report only*
- I-12 [OCERS INNOVATIONS AND EMPLOYEE STAFF AWARDS](#)  
*Presentation by Steve Delaney, Chief Executive Officer, OCERS*

**DISABILITY APPLICATIONS/MEMBER APPEALS AGENDA**

11:00 A.M.

**NOTE: WHEN CONSIDERING DISABILITY RETIREMENT APPLICATIONS OR MEMBER APPEALS OF BENEFIT OR DISABILITY RETIREMENT DETERMINATIONS, THE BOARD MAY ADJOURN TO CLOSED SESSION TO DISCUSS MATTERS RELATING TO THE MEMBER'S APPLICATION OR APPEAL, PURSUANT TO GOVERNMENT CODE SECTIONS 54957 OR 54956.9. IF THE MATTER IS A DISABILITY APPLICATION UNDER SECTION 54957, THE MEMBER MAY REQUEST THAT THE DISCUSSION BE IN PUBLIC.**

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**DISABILITY INDIVIDUAL AGENDA**

**D-1: James Bau**

Sergeant, Orange County Sheriff's Department

Date of employer filed application for service and non-service connected disability retirement: 10/28/2016

Date of employee filed application for service connected disability retirement: 12/30/2016

**Recommendation: Grant service connected disability retirement with an effective date of November 18, 2016. (Safety Member)**

**D-2: Ryan Bowsher**

Deputy Sheriff II, Orange County Sheriff's Department

Date of employer filed application for service and non-service connected disability retirement: 11/04/2016

Date of employee filed application for service and non-service connected disability retirement: 12/20/2016

**Recommendation: Grant service connected disability retirement with an effective date of the day after the last day of regular compensation. (Safety Member)**

**D-3: Emilio Mondragon**

Coach Operator, Orange County Transportation Authority

Date of employer filed application for service and non-service connected disability retirement: 06/10/2016

Date of employee filed application for service connected disability retirement: 06/12/2017

**Recommendation: Grant service connected disability retirement with an effective date of June 10, 2016. (General Member)**

**D-4: Walter Rejon**

Coach Operator, Orange County Transportation Authority

Date of employer filed application for service and non-service connected disability retirement: 10/10/2016

Date of employee filed application for service and non-service connected disability retirement: 11/18/2016

**Recommendation: Grant service connected disability with an effective date of the day after the last day of regular compensation. (General Member)**

**D-5: Izabel Rivera**

Deputy Juvenile Correctional Officer II, Probation Department

Date of employee filed application for service and non-service connected disability retirement: 02/06/2017

**Recommendation Grant service connected disability retirement with an effective date of November 11, 2016, the day following the last day of regular compensation as a Deputy Juvenile Correctional Officer II. Find the Applicant is capable of performing other duties in the service of the County of Orange pursuant to Government Code Section 31725.65. Grant a supplemental disability retirement payment allowance in the amount of the salary difference between the higher and lower paying positions effective November 11, 2016, the date of the position change until the day Ms. Rivera wishes to retire. (Safety Member)**

**D-6: Dortha Ronan**

Lieutenant, Orange County Sheriff's Department

Date of employee filed application for service connected disability retirement: 06/17/2016

**Recommendation Grant service connected disability with an effective date of June 17, 2016. (Safety Member)**

**D-7: Dean Weckerle**

Sergeant, Orange County Sheriff's Department

Date of employee filed application for service connected disability retirement: 07/18/2016

**Recommendation Grant service connected disability retirement with an effective date of August 5, 2016. (Safety Member)**

**D-8: Maxine Perry**

**Recommendation: Adopt the findings and recommendations of the Hearing Officer and Grant Applicant's application for service connected disability retirement with an effective date of the day after the last day of regular compensation.**

**D-9: Lisa Coley**

**Recommendation: Adopt the findings and recommendations of the Hearing Officer and find that at the time of her death, Lisa Coley, was legally married to Jerel Manning, therefore, Mr. Manning is entitled to a continuation of the disability allowance awarded to Ms. Coley as he is the surviving spouse.**

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**PUBLIC COMMENTS:** At this time members of the public may address the Board of Retirement regarding any items within the subject matter jurisdiction of the Board, provided that no action may be taken on non-agendized items unless authorized by law.

**BOARD MEMBER COMMENTS**

**CHIEF EXECUTIVE OFFICER/STAFF COMMENTS**

**COUNSEL COMMENTS**

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**ADJOURNMENT: (IN MEMORY OF THE ACTIVE MEMBERS, RETIRED MEMBERS, AND SURVIVING SPOUSES WHO PASSED AWAY THIS PAST MONTH)**

**NOTICE OF NEXT MEETINGS**

**INVESTMENT COMMITTEE MEETING**

**January 24, 2018**

**9:00 A.M.**

**ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM**

**2223 E. WELLINGTON AVENUE, SUITE 100**

**SANTA ANA, CA 92701**

**AUDIT COMMITTEE MEETING**

**January 30, 2018**

**1:30 P.M.**

**ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM  
2223 E. WELLINGTON AVENUE, SUITE 100  
SANTA ANA, CA 92701**

**REGULAR BOARD MEETING  
February 13, 2018  
9:00 A.M.**

**ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM  
2223 E. WELLINGTON AVENUE, SUITE 100  
SANTA ANA, CA 92701**

*All supporting documentation is available for public review in the retirement office during regular business hours, 8:00 a.m. – 5:00 p.m., Monday through Thursday and 8:00 a.m. – 4:30 p.m. on Friday.*

It is OCERS' intention to comply with the Americans with Disabilities Act ("ADA") in all respects. If, as an attendee or participant at this meeting, you will need any special assistance beyond that normally provided, OCERS will attempt to accommodate your needs in a reasonable manner. Please contact OCERS via email at [adminsupport@ocers.org](mailto:adminsupport@ocers.org) or call 714-558-6200 as soon as possible prior to the meeting to tell us about your needs and to determine if accommodation is feasible. We would appreciate at least 48 hours' notice, if possible. Please also advise us if you plan to attend meetings on a regular basis.